

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
17262

In Re Application Of: **Tetsuya OOTSUKI**

Serial No.
10/714,210

Filing Date
Nov. 14, 2003

Examiner
Unassigned

Group Art Unit
Unassigned

Title: **SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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SEMICONDUCTOR MEMORY DEVICE ADAPTIVE FOR USE CIRCUMSTANCE



Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-1013/SSMP** as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I certify that this document and fee is being deposited on **Dec. 19, 2003** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Paul J. Esatto, Jr.

Typed or Printed Name

*This certificate may only be used if paying by deposit account.

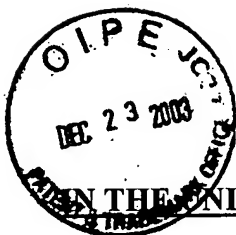
Signature

Dated: DECEMBER 19, 2003

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PJE:ahs

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tetsuya OOTSUKI **Docket:** 17262
Serial No: 10/714,210 **Art Unit:** Unassigned
Filed: Nov. 14, 2003 **Dated:** Dec. 19, 2003
For: SEMICONDUCTOR MEMORY
DEVICE ADAPTIVE FOR USE CIRCUMSTANCE

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

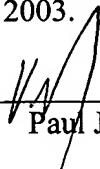
In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid Open Patent Application No. 2000-40363, dated February 8, 2000;
2. Japanese Laid Open Patent Application No. 2000-132966, dated May 12, 2000;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on December 19, 2003.

Dated: December 19, 2003




Paul J. Esatto, Jr.

3. Japanese Laid Open Patent Application No. 2002-25255, dated January 25, 2002;
4. Japanese Laid Open Patent Application No. 2000-268565, dated September 29, 2000;
5. Japanese Laid Open Patent Application No. 2001-93280, dated April 6, 2001;
and
6. Japanese Laid Open Patent Application No. 10-504129, dated April 14, 1998.

Applicant is submitting copies of the above-cited references. The relevance of the above-identified references has been described in the specification.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

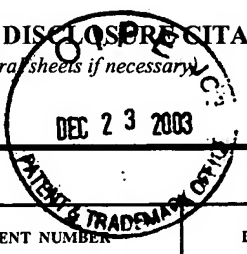
Respectfully submitted,


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INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>	Docket Number (Optional) 17262	Application Number 10,714,210
	Applicant(s) Tetsuya OOTSUKI	
	Filing Date Nov. 14, 2003	Group Art Unit Unassigned



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

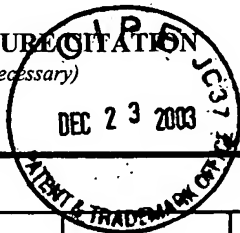
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		2000-40363	2/8/00	Japan				
		2000-132966	5/12/00	Japan				
		2002-25255	1/25/02	Japan				
		2000-268565	9/29/00	Japan				
		2001-93280	4/6/01	Japan				

OTHER DOCUMENTS *(Including Author, Title, Date, Pertinent Pages, Etc.)*

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		10-504129	4/14/98	Japan				

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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EXAMINER	DATE CONSIDERED
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